

MassDOT Audit  
Operations Overview  
August 13, 2023

Jim Logan, Director of  
MassDOT Audit Operations



# MassDOT Audit Operations

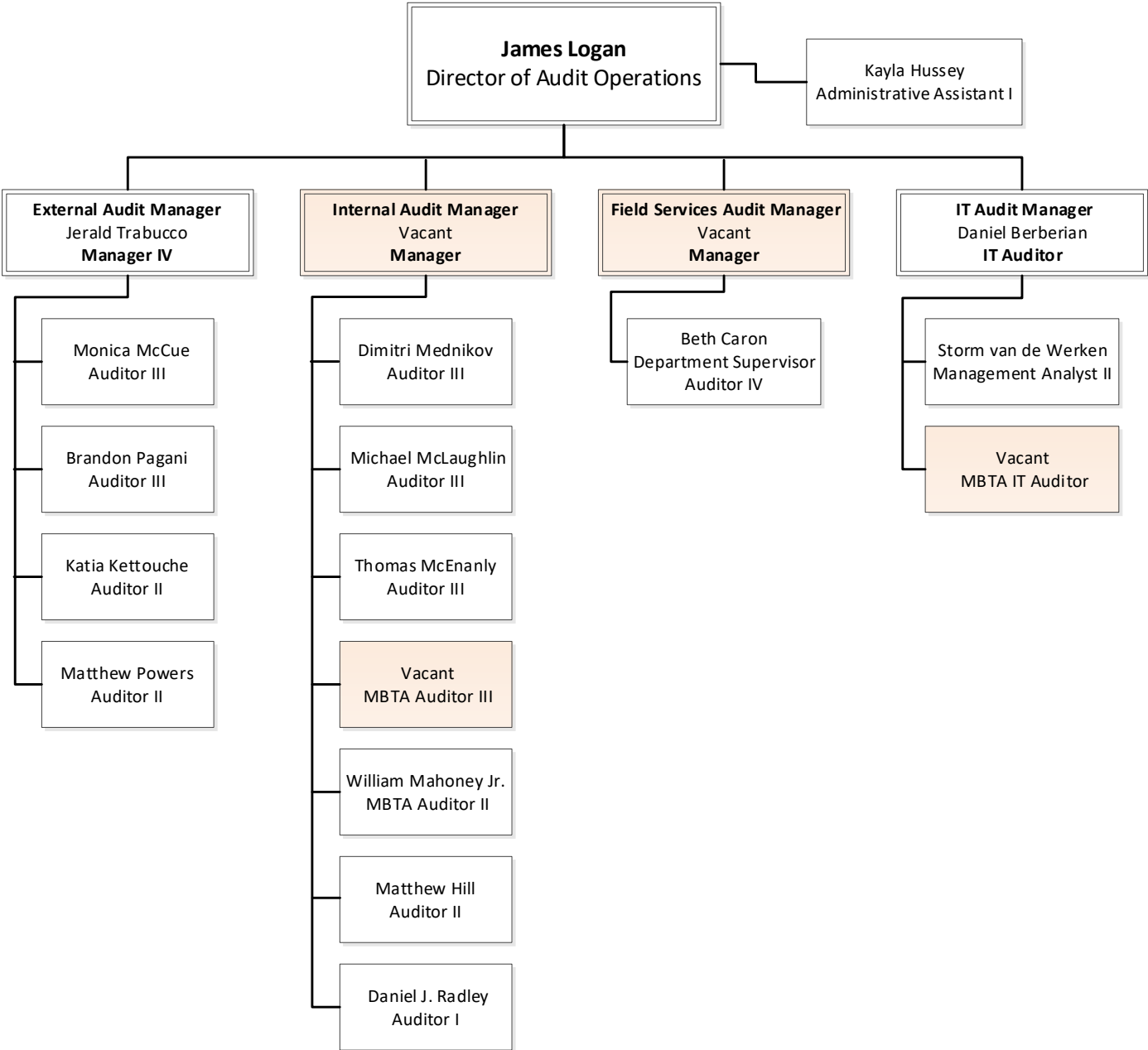
- Audit Coverage, Organization Chart, Description of Audit Units, and Staffing Plans
- MassDOT Audit Process:
  - Risk Assessment
  - Scope Documents
  - Entrance and Exit Conferences
  - Field Work, Sampling & Testing
  - Data Analytics
  - Audit Report Phases
  - Presentations to MBTA A&F / Board
- Open & Closed Issues Reports
- Audit Plans
- Working with MBTA Management and A&F Committee
- Questions



**Massachusetts Bay  
Transportation Authority**



**Massachusetts Department of Transportation**  
**Audit Operations**  
**Organization Chart**  
**7/15/2023**



# MBTA Audit Plan 2024

Audit	Estimated Start Date	Estimated End Date	Final End Date	Audit Status
MBTA User Access Review (IT Audit)	July 2022	December 2022	January 2023	Completed
Review of MBTA's Outdoor Advertising Program	December 2022	July 2023		Active
MBTA VPN Security Audit (IT Audit)	January 2023	March 2023		Active
Financial Review of the MBTA South Station Lease	April 2023	July 2023		Active
MBTA Operations - Scheduling & Maintenance – Audit Scope/Focus TBD	August 2023	November 2023		Proposed
MBTA 3rd Party IT Vendors (IT Audit)	September 2023	December 2023		Proposed
MBTA 3rd Party Vendors	September 2023	February 2024		Proposed
MBTA Revenue Operations and Parking Garages/Lots	October 2023	February 2024		Proposed
MBTA Disaster Recovery (IT Audit)	November 2023	January 2024		Proposed
MBTA Capital Delivery – Audit Scope/Focus TBD	December 2023	February 2024		Proposed
MBTA Data Privacy Audit (IT Audit)	January 2024	March 2024		Proposed

# MassDOT Audit Operations Outstanding Audits

There are two audits that were completed in a prior fiscal year that have yet to be presented. The Audit of MBTA Small Procurements/Accounts Payable and the Audit of MBTA Remote Vehicle Registrations have been completed and are awaiting presentation.

