



**Massachusetts Bay
Transportation Authority**

FTA Triennial Report Summary

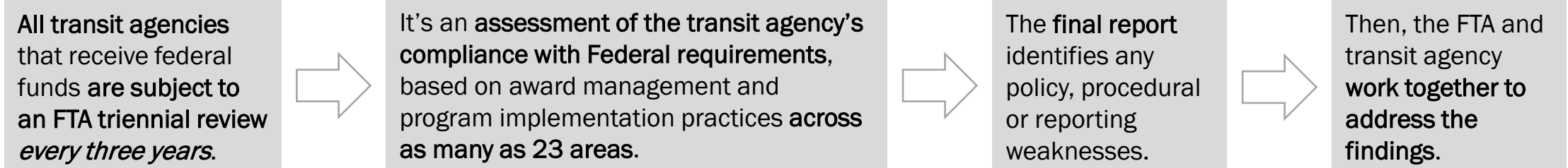
Eric Waaramaa, Director of Capital Budget

Agenda

- Triennial Review Standard Purpose and Process
- FY 2022 Triennial Review Timeline
- Summary of Findings
- Action Plan and Next Steps
- Questions



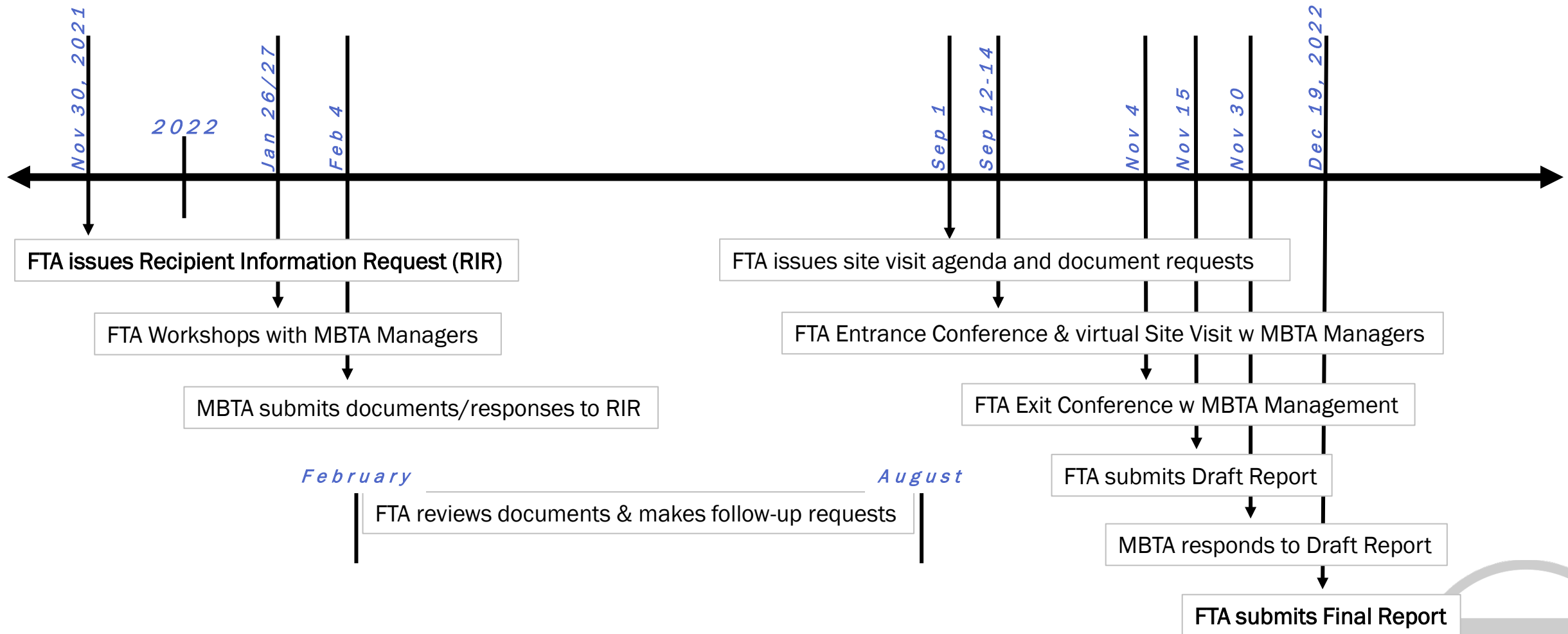
Triennial Review Standard Purpose & Process



- **FOR THE MBTA**, the previous most recent Triennial Reviews were conducted for **FY 2015** and **FY 2018**. For the **FY 2022** review, the process started in November 2021 and was completed 13 months later in December 2022.
- The review **focused on the MBTA's compliance in 20 different areas** and included a more comprehensive review of the Procurement area, called an “Enhanced Review Module.”
- Also, this review was **expanded to address the MBTA's compliance with the use and administration of COVID-19 relief funds** (e.g., CARES Act, CRRSAA, American Rescue Plan). **No deficiencies were identified.**
- The FTA year-long review activities engaged about 90 MBTA/MassDOT employees cross-functionally, who attended FTA meetings and responded to requests for information.
- The MBTA is **already actively managing the findings to closure as a standard course of business**.

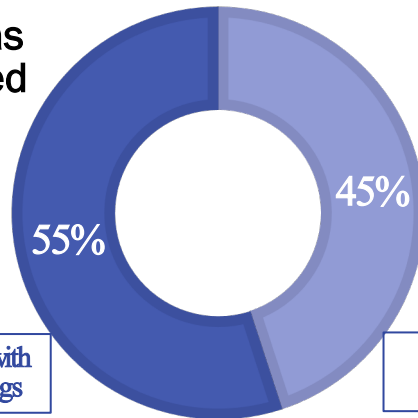


FTA Triennial Review FY 2022 Timeline



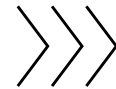
FTA Triennial Review Summary of Findings

20 Areas Reviewed

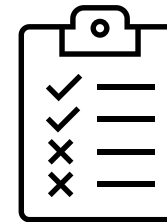


11 Areas with No Findings

9 Areas w/ Findings



18 findings in 9 areas.
2 closed before Final Report.
16 findings remain.

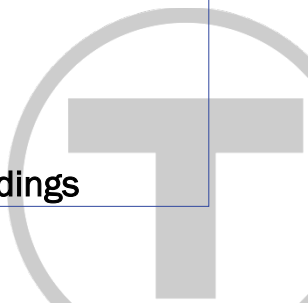


The Final Report includes corrective actions and due dates for **16 remaining findings**. The MBTA is already working to address each in a timely manner. Senior Leadership is managing deficiency resolution monthly to closure. See next page for open Findings.

Legal
Financial Mgmt and Capacity
Technical Capacity – Award Mgmt
Transit Asset Mgmt
Title VI
School Bus
Charter Bus
Drug-Free Workplace
Drug and Alcohol Program
Public Transportation Agency Safety Plan
Cybersecurity

Areas w/ Findings	Findings	Status
#5 Technical Capacity – Project Mgmt	1	
<i>#7 Satisfactory Continuing Control</i>	<i>1</i>	<i>Excessive fixed-route bus spare ratio. Closed before the Final Report was issued</i>
#8 Maintenance	1	
<i>#9 Procurement</i>	<i>2</i>	<i>1 of 2 closed before the Final Report was issued. Missing Lobbying Certification.</i>
#10 Disadvantaged Business Enterprise	1	
#12 Americans w Disabilities Act – Gen	3	
#13 ADA – Complementary Paratransit	5	
#14 Equal Employment Opportunity	3	
#19 Section 5307 Program Requirements	1	

See next page for remaining 16 open findings



Action Plan for 16 Deficiencies & Next Steps

TRIENNIAL REVIEW AREA	DEFICIENCY CODE/ DESCRIPTION	MBTA/ MASSDOT DEPARTMENT	MBTA/MASSDOT PRIMARY CONTACT	RESPONSE DUE DATE	DATE CLOSED
#5 Technical Capacity – Project Management	Delays due to project implementation	Capital Programs	Ken Johnson	3/31/2023	
#8 Maintenance	Late facility/equipment PM	E&M /Security & Emer Mgmt	Joe Cheever/Mike McCabe	4/30/2023	
#9 Procurement	Recipient has A&E procurement deficiencies	Capital Programs	Maureen McDonough	3/31/2023	
#19 Section 5307 Program Requirements	Public comment policy missing required elements	Policy & Transit Planning	Lynsey Heffernan	7/1/2023	
#12 Americans with Disabilities Act (ADA) – General	Insufficient ADA complaint process	Systemwide Accessibility	Laura Brelsford	4/1/2023	
	Demand response service deficiency	Paratransit Services	Michele Stiehler	4/1/2023	
	Imposition of improper service animal restrictions.	Paratransit Services	Michele Stiehler	2/15/2023	
#13 ADA – Complementary Paratransit	Eligibility criteria deficiencies	Paratransit Services	Michele Stiehler	2/15/2023	
	Service to visitors not provided under the same conditions as eligible riders	Paratransit Services	Michele Stiehler	2/15/2023	
	Unreasonable no-show policy	Paratransit Services	Michele Stiehler	2/15/2023	
	Limits or capacity constraints on ADA complementary paratransit service	Paratransit Services	Michele Stiehler	3/15/2023	
	Insufficient oversight of contractors/other private entities providing ADA complementary paratransit	Paratransit Services	Michele Stiehler	3/15/2023	
#10 Disadvantaged Business Enterprise	Recipient not ensuring prompt payment	ODCR	Julian Tynes	6/1/2023	
#14 Equal Employment Opportunity	Full EEO program not submitted on time	ODCR	Julian Tynes	2/15/2023	
	EEO reporting and/or monitoring system deficiencies	ODCR	Julian Tynes	6/15/2023	
	Insufficient oversight of contractor EEO programs	ODCR	Julian Tynes	4/15/2023	

Questions

