MassDOT Audit Operations Overview

September 29, 2022

Jim Logan, Director of MassDOT Audit Operations



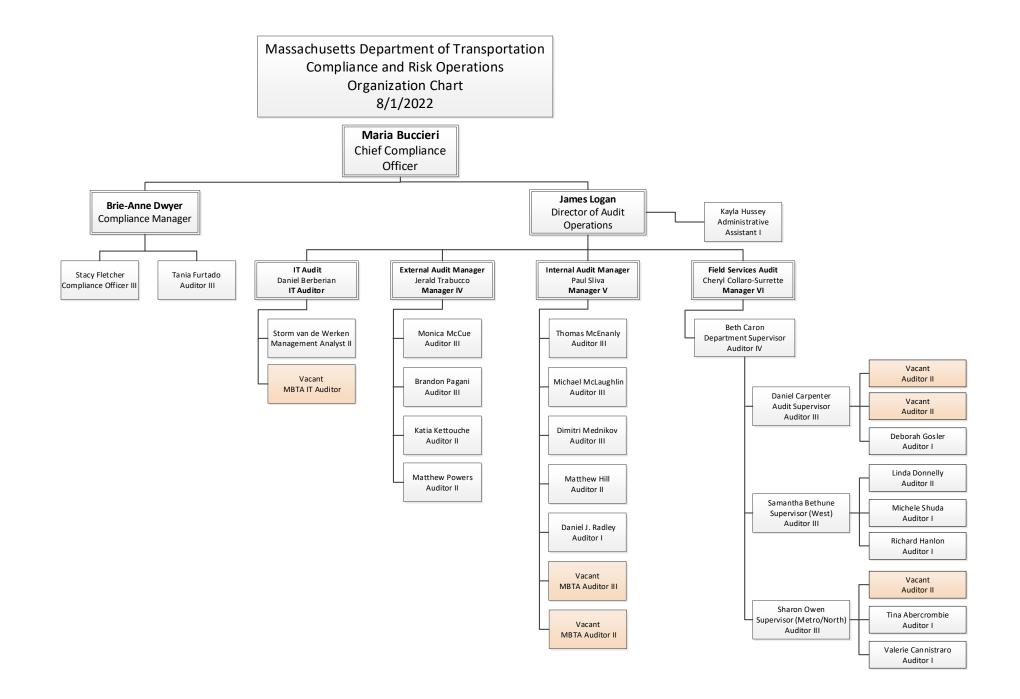


MassDOT Audit Operations

- Audit Coverage, Organization Chart, Description of Audit Units, and Staffing Plans
- MassDOT Audit Process:
 - Risk Assessment
 - Scope Documents
 - Entrance and Exit Conferences
 - Field Work, Sampling & Testing
 - Data Analytics
 - Audit Report Phases
 - Presentations to MBTA A&F / Board
- Open & Closed Issues Reports
- Audit Plans
- Working with MBTA Management and A&F Committee
- Questions







MBTA Audit Plan 2023

Audit	Estimated Start Date	Estimated End Date	Final End Date	Audit Status
MBTA User Access Review (IT Audit)	July 2022	September 2022		Active
MBTA 3rd Party IT Vendors (IT Audit)	October 2022	January 2023		Proposed
MBTA 3rd Party Vendors	October 2022	March 2023		Proposed
MBTA Outdoor Advertising	December 2022	February 2023		Proposed
MBTA VPN Security Audit (IT Audit)	January 2023	March 2023		Proposed
MBTA Operations - Scheduling & Maintenance – Audit Scope/Focus TBD	January 2023	April 2023		Proposed
MBTA Revenue Operations and Parking Garages/Lots	February 2023	June 2023		Proposed
MBTA Disaster Recovery (IT Audit)	February 2023	April 2023		Proposed
MBTA Capital Delivery – Audit Scope/Focus TBD	March 2023	June 2023		Proposed
MBTA Data Privacy Audit (IT Audit)	April 2023	June 2023		Proposed