

MassDOT Audit Operations Overview

August 11, 2022

Jim Logan, Director of
MassDOT Audit Operations



Massachusetts Bay
Transportation Authority

MassDOT Audit Operations

- **Audit Coverage, Organization Chart, Description of Audit Units, and Staffing Plans**
- **MassDOT Audit Process:**
 - Risk Assessment
 - Scope Documents
 - Entrance and Exit Conferences
 - Field Work, Sampling & Testing
 - Data Analytics
 - Audit Report Phases
 - Presentations to MBTA A&F / Board
- **Open & Closed Issues Reports**
- **Audit Plans**
- **Working with MBTA Management and A&F Committee**
- **Questions**



**Massachusetts Bay
Transportation Authority**



**Massachusetts Department of Transportation
 Compliance and Risk Operations
 Organization Chart
 8/1/2022**

Maria Buccieri
 Chief Compliance
 Officer

Brie-Anne Dwyer
 Compliance Manager

Stacy Fletcher
 Compliance Officer III

Tania Furtado
 Auditor III

James Logan
 Director of Audit
 Operations

Kayla Hussey
 Administrative
 Assistant I

IT Audit
 Daniel Berberian
 IT Auditor

Storm van de Werken
 Management Analyst II

Vacant
 MBTA IT Auditor

External Audit Manager
 Jerald Trabucco
 Manager IV

Monica McCue
 Auditor III

Brandon Pagani
 Auditor III

Katia Kettouche
 Auditor II

Matthew Powers
 Auditor II

Internal Audit Manager
 Paul Sliva
 Manager V

Thomas McEnanly
 Auditor III

Michael McLaughlin
 Auditor III

Dimitri Mednikov
 Auditor III

Matthew Hill
 Auditor II

Daniel J. Radley
 Auditor I

Vacant
 MBTA Auditor III

Vacant
 MBTA Auditor II

Field Services Audit
 Cheryl Collaro-Surrette
 Manager VI

Beth Caron
 Department Supervisor
 Auditor IV

Daniel Carpenter
 Audit Supervisor
 Auditor III

Samantha Bethune
 Supervisor (West)
 Auditor III

Sharon Owen
 Supervisor (Metro/North)
 Auditor III

Vacant
 Auditor II

Vacant
 Auditor II

Deborah Gosler
 Auditor I

Linda Donnelly
 Auditor II

Michele Shuda
 Auditor I

Richard Hanlon
 Auditor I

Vacant
 Auditor II

Tina Abercrombie
 Auditor I

Valerie Cannistraro
 Auditor I

MBTA Audit Plan 2023

Filters

Project Folder In List (MBTA) And Project Start Date Between 01/07/2022 And 01/05/2024

Audit	Estimated Start Date	Estimated End Date	Final End Date	Audit Status
MBTA User Access Review (IT Audit)	July 2022	September 2022		Active
MBTA 3rd Party IT Vendors (IT Audit)	October 2022	January 2023		Proposed
MBTA 3rd Party Vendors	October 2022	March 2023		Proposed
MBTA Outdoor Advertising	December 2022	February 2023		Proposed
MBTA VPN Security Audit (IT Audit)	January 2023	March 2023		Proposed
MBTA Operations - Scheduling & Maintenance – Audit Scope/Focus TBD	January 2023	April 2023		Proposed
MBTA Revenue Operations and Parking Garages/Lots	February 2023	June 2023		Proposed
MBTA Disaster Recovery (IT Audit)	February 2023	April 2023		Proposed
MBTA Capital Delivery – Audit Scope/Focus TBD	March 2023	June 2023		Proposed
MBTA Data Privacy Audit (IT Audit)	April 2023	June 2023		Proposed