



# Corrective Action Plans

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Environmental Subcommittee

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# Corrective Action Plans

A State Safety Oversight Agency must:

- Investigate or oversee the investigation of accidents;
- **Review and approve the MBTA's Corrective Action Plans (CAPs)**
- Review and approve the Public Transportation Agency Safety Plan (PTASP); also approved by the MBTA's BoD
- Conduct a triennial audit to assess compliance with the PTASP



# Corrective Action Plan Monitoring

Track and monitor implementation of Corrective Action Plans

Corrective Action Plan ***A plan developed by the Rail Transit Agency that describes the actions the Rail Transit Agency will take to minimize, control, correct, or eliminate risks and hazards, and the schedule for implementing those actions. The State Safety Oversight Agency or FTA may require a Rail Transit Agency to develop and carry out a corrective action plan.***



## Process

- The MBTA develops a written CAP describing the event or condition requiring corrective action; the action necessary to eliminate or control occurrence or condition; schedule for implementation; person or department responsible for implementation.
- The proposed CAP is submitted to the DPU.



## Process

- The DPU reviews the CAP, determines whether it addresses the hazard, and may accept it or reject it and require changes
- MBTA and DPU meet regularly to discuss the status of CAPs, and progress toward implementation
- MBTA must provide the DPU with written verification that each corrective action described in the CAP has been implemented
- After completion and verification of all corrective actions, a CAP is closed



# Sources of CAPs

- After an investigation of an accident or incident
- Internal or External Audits
- Safety Risk Monitoring
- Hazard Identification